

Code of Ethics

for LIA Members

As a member of LIA I agree to adhere to the following code of ethics on the understanding that it will serve the interests of the general public and the Financial Services Industry to the highest possible standards.

Therefore, I

Andrew Johnston

pledge myself to:

1. Always act in the client's best interests and put them before my own direct or indirect interests.
2. Maintain the highest standards of professionalism and give the best possible advice to clients.
3. Seek to maintain and improve my professional knowledge, skills and competence.
4. Respect and preserve all personal and business information provided by my clients or their other advisors on their affairs as totally confidential and privileged.
5. Provide full and adequate disclosures of all relevant facts to clients to enable them to make informed decisions.
6. Promote and maintain behaviour befitting a professional person in the financial services industry and as a member of LIA.
7. Observe and conform to the laws and codes of practice that regulate the Financial Services Industries of the State.



THE PROFESSIONAL ASSOCIATION FOR FINANCIAL SERVICES